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PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/040,303 **Application Number** October 19, 2001 Filing Date TRANSMITTAL First Named Inventor **FORM** POURMAND, NADER Group Art Unit 1623 (to be used for all correspondence after initial filing) **Examiner Name** Unassigned Total Number of Pages in This Submission Attorney Docket Number **STAN-241** ENCLOSURES (check all that apply)  $\boxtimes$ Fee Transmittal Form Assignment Papers After Allowance Communication (for an Application) to Group Fee Attached Drawing(s) Appeal Communication to Board  $\boxtimes$ Preliminary Amendment / Reply of Appeals and Interferences Licensing-related Papers After Final Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Petition Affidavits/declaration(s) Proprietary Information Extension of Time Request Petition to Convert to a Provisional Application Status Letter Express Abandonment Request Power of Attorney, Revocation Change of Correspondence Information Disclosure Statement Other Enclosure(s) (please Address identify below): Terminal Disclaimer Certified Copy of Priority Substitute Specification; Documents **Executed Declaration**; Request for Refund Return receipt postcard X Response to Missing Parts/ Incomplete Application CD, Number of CD(s) Response to Missing Parts Remarks under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm BRET E. FIELD, Reg. No. 37,620 Individual Name Signature Date June 10, 2002 CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: June 10, 2002

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Date

June 10, 2002

Typed or printed name

Signature

Donna Macedo

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## **EE TRANSMITTAL** for FY 2002

Complete if Known Application Number 10/040,303 Filing Date October 19, 2001 First Named Inventor POURMAND, NADER

Patent fees are subject to annual revision.			Examiner Name		ne	Unassigned			
			Group Art Unit			1623			
TOTAL AMOUNT OF PAYMENT (\$	6) 606.00	Attorney Docket No.			ket No.	STAN-241			
METHOD OF PAYMENT			FEE CALCULATION (continued)						
The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:     Deposit Account Number 50-0815     Deposit Account Name Bozicevic, Field & Francis LLP     Charge Any Additional Fee Required		Fee Code	ADDITION Large Entity Fee (S)		FEES Small Entity Fee (\$)	Fee Description	Fee Paid		
Under 37 CFR 1.1 6 and 1.17  ☑ Applicant Claims small entity status.		105	130	205	65	Surcharge - late filing fee or oath	65.00		
See 37 CFR 1.27		127	50	227		Surcharge – late provisional filing fee or			
2. Payment Enclosed:			130	139		cover sheet Non-English specification			
☐ Check ☐ Credit Card ☐ Money Order ☐ Other		139 147	2,520	147		For filing a request for ex parte reexamination			
FEE CALCULATION		112	920*	112		Requesting publication of SIR prior to			
2. BASIC FILING FEE				Examination action					
Large Entity Small Entity Fee Fee Fee Fee Description	F D	113	1,840*	113		Requesting publication of SIR after Examiner action			
Code (\$) Code (\$)	Fee Paid	115	110	215	55	Extension for reply within first month			
101 740 201 370 Utility filing fee	370.00	116	400	216		Extension for reply within second month			
106 330 206 165 Design filing fee		117	920	217		Extension for reply within third month			
107 510 207 255 Plant filing fee 108 740 208 370 Reissue filing fee		118	1,440	218		Extension for reply within fourth month			
and the second timing too		128	1,960	228		Extension for reply within fifth month			
114 160 214 80 Provisional filing fee		119	320	219		Notice of Appeal			
SUBTOTAL (1)	370.00	120	320	220		Filing a brief in support of an appeal			
1. EXTRA CLAIM FEES		121	280	221		Request for oral hearing			
Fee from		138	1,510	138		Petition to institute a public use proceeding			
Extra Claims below  Total Claims 25 -20** = 5 x 9.00 =	Fee Paid	140 141	110	240		Petition to revive – unavoidable			
Indep. Claims 6-3** = 3 x 42.00 =	<u>45.00</u> 126.00	141	1,280 1,280	241 242		Petition to revive – unintentional			
Multiple Dependent =		143	460	242		Utility issue fee (or reissue)			
		144	620	243		Design issue fee Plant issue fee			
1		122	130	122		Petitions to the Commissioner			
Large Entity Small Entity Fee Fee Fee Fee Fee Description		123	50	123		Processing fee under 37 CFR 1.17(q)			
Code (\$) Code (\$)		126	180	126		Submission of Information Disclosure Stmt			
103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in 6	ovenes of 2	581	40	581	40	Recording each patent assignment per property (times number of properties)			
102 84 202 42 Independent claims in a local section of the local section		146	740	246	370 I	For each additional invention to be examined (37 CFR § 1.129(a))			
109 84 209 42 ** Reissue independent		149	740	249	370 I	For each additional invention to be examined (37 CFR § 1.129(b))			
over original patent	ĺ	179	740	279		Request for Continued Examination (RCE)			
110 18 210 9 ** Reissue claims in exc and over original pa		169	900	169	900 F	Request for expedited examination of a design application			
SUBTOTAL (2) \$ 171.00			fee (spe	cify)					
**or number previously paid, if greater; For Reissues, see above		*Reduced by Basic Filing Fee Pard SUBTOTAL (3) (\$) 65.00							
		_			J 7	333.317.E (0) (4)	VV.00		

SUBMITTED BY			Complete (if applicable)						
Name (Print/Type)	Bret E. Field	Registration No. (Attorney/Agent)	37,620	Telephone	(650) 327-3400				
Signature				Date	06/10/2002				

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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## United States Patent and Trademark Office

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/040,303

10/19/2001

Nader Pourmand

STAN241

**CONFIRMATION NO. 9829** 

Date Mailed: 04/08/2002

**FORMALITIES LETTER** 

\*OC000000007825402\*

Bret E. Field Bozicevic, Field and Francis LLP Suite 200 200 Middlefield Road Menlo Park, CA 94025

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/24/2002 EAREGAY1 00000030 500815

10040303

FILED UNDER 37 CFR 1.53(b)

370.00 CH 126.00 CH

126.00 CH 45.00 CH 65.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
  - Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1836.
  - \$1584 for 88 total claims over 20.
  - \$252 for 3 independent claims over 3.
- The oath or declaration is missing.
  - A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2706.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")
- Substitute drawings in compliance with 37 CFR 1.84 because:

02 FC+202

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

A copy of this notice <u>MUST</u> be returned with the reply.

Muluemeset hebrei

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE